

JC962 U.S. PTO
13/01

08-14-01

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. Mo-6476/LeA 34,678

First Inventor Martin Melchior

Title AQUEOUS DISPERSIONS

Express Mail Label No. ET146885969US

US P
09/28/01
J1033
08/13/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 37]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

 Continuation Divisional Continuation-in-part(CIP)

of prior application No. /

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label (Insert Customer Number or Bar Code Label here)				<input type="checkbox"/> Correspondence address below
Name	00157			
PATENT TRADEMARK OFFICE				
Address				
City	State		Zip Code	
Country	Telephone		Fax	
Name (Print/Type)	Thomas W. Roy	Registration No. (Attorney/Agent)	29,582	
Signature	Thomas W. Roy	Date	August 13, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$710.00)

Complete if Known

Application Number	To be Assigned
Filing Date	Herewith
First Named Inventor	Martin Melchiors
Examiner Name	--
Group / Art Unit	--
Attorney Docket No.	Mo-6476/LeA 34,678

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

13-3848

Deposit Account Name

Bayer Corporation

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.172. Payment Enclosed: Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Fee	Fee	Fee Description	Fee Paid
101 690	201 345	Utility filing fee				710.00
106 310	206 155	Design filing fee				
107 480	207 240	Plant filing fee				
108 690	208 345	Reissue filing fee				
114 150	214 75	Provisional filing fee				

SUBTOTAL (1) (\$710.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	11	-20** = 0	x 18 = 0
Independent Claims	1	-3** = 0	x 80 = 0
Multiple Dependent			= 0

*or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Fee Description
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim, if not paid	
109 78	209 39	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0.00)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath		0.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.		0.00
139 130	139 130	Non-English specification		0.00
147 2,520	147 2,520	For filing a request for reexamination		0.00
112 920*	112 920*	Requesting publication of SIR prior to Examiner action		0.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		0.00
115 110	215 55	Extension for reply within first month		0.00
116 380	216 190	Extension for reply within second month		0.00
117 870	217 435	Extension for reply within third month		0.00
118 1,360	218 680	Extension for reply within fourth month		0.00
128 1,850	228 925	Extension for reply within fifth month		0.00
119 300	219 150	Notice of Appeal		0.00
120 300	220 150	Filing a brief in support of an appeal		0.00
121 260	221 130	Request for oral hearing		0.00
138 1,510	138 1,510	Petition to institute a public use proceeding		0.00
140 110	240 55	Petition to revive - unavoidable		0.00
141 1,210	241 605	Petition to revive - unintentional		0.00
142 1,210	242 605	Utility issue fee (or reissue)		0.00
143 430	243 215	Design issue fee		0.00
144 580	244 290	Plant issue fee		0.00
122 130	122 130	Petitions to the Commissioner		0.00
123 50	123 50	Petitions related to provisional applications		0.00
126 240	126 240	Submission of Information Disclosure Stmt		0.00
581 40	581 40	Recording each patent assignment per property (times number of properties)		0.00
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))		0.00
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))		0.00
Other fee (specify) _____				0.00
Other fee (specify) _____				0.00

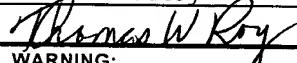
Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

SUBMITTED BY

Name (Print/Type) Thomas W. Roy

Signature



Complete (if applicable)

Registration No. 29,582

Attorney/Agent Telephone 412-777-8349

Date August 13, 2001

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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